Clinical White Paper
# Table of Contents

1 Abstract 1
2 High Efficiency Aerosol Drug Delivery During Ventilation 2
3 A Lung Recruitment Strategy for Patients with Respiratory Failure utilizing Aerogen 5
4 Comparison of Aerogen with MDIs 5
5 Superior Drug Deposition During HFOV 6
6 Optimal Drug Delivery During NIV & HFNC 7
7 Aerogen Aerosol Drug Delivery Technology for Non-Vented Patients 12
8 Aerogen Improves Clinical Outcomes in the Emergency Department 16
9 Aerogen in the OR 18
10 Summary 18
11 References 19
1

Abstract

Aerogen’s vibrating mesh technology, available within the Aerogen® Solo, Aerogen® Pro and NIVO has been adopted for use across many areas of the hospital during a variety of ventilatory support including conventional mechanical ventilation, high frequency oscillatory ventilation, non-invasive ventilation and high flow nasal cannula. Clinical researchers have established its superior performance in bench and imaging studies\textsuperscript{1-13}. Aerogen devices can provide the patient with up to 9 fold higher drug dose than a standard small volume nebulizer (SVN) during mechanical ventilation\textsuperscript{2}. In pediatric patients in respiratory failure Aerogen aerosolized Albuterol resulted in improved lung recruitment\textsuperscript{14}. It is also cost effective, as shown by multiple hospitals in the US switching to Aerogen and observing significant savings compared to MDIs\textsuperscript{15-18}. The Aerogen technology is not only available for use during both invasive and non-invasive ventilation but can be used with spontaneously breathing patients with mouthpieces and masks throughout the acute care setting where Aerogen® Ultra enables effective aerosol therapy of up to 35% inhaled dose available to the patient\textsuperscript{19}. Recent clinical data have shown significant improvements in clinical outcomes and reduced drug dose in the ED for all patients requiring Albuterol via the Aerogen Ultra\textsuperscript{37}.

Key Take Away Points

- Aerogen’s vibrating mesh technology has been adopted across the hospital and used during MV, HFOV, NIV, HFNC and with spontaneous breathing patients.
- Aerogen technology enables optimal aerosol therapy across all ventilatory support.
- Bench, imaging and case studies all provide evidence of the superior performance of the Aerogen aerosol drug delivery devices.
- Substantial cost savings have been observed in comparison to MDIs in the US.
- Improved lung recruitment compared to baseline can be achieved with Aerogen aerosolized Albuterol in pediatric respiratory failure patients.
- Improved clinical outcomes observed with use of the Aerogen Ultra in the ED.
Aerogen devices are highly efficient vibrating mesh aerosol drug delivery systems which can be used inline during any type of respiratory support including mechanical ventilation, high frequency oscillatory ventilation (HFOV), non-invasive ventilation (NIV), continuous positive airway pressure (CPAP) and High Flow Nasal Cannula (HFNC)\textsuperscript{1-4, 7, 20, 23}. The Aerogen Solo utilizes active vibrating mesh technology, where energy applied to the vibrational element, causes vibration of each of the 1000 funnel shaped apertures within the mesh. The mesh acts as a micropump drawing liquid through the holes producing a low velocity aerosol optimized for targeted drug delivery to the lungs. The Aerogen device can deliver 9 times more aerosol dose compared to standard small volume nebulizer during mechanical ventilation\textsuperscript{2}, and outperforms all standard small volume nebulizers when positioned at both the wye (proximal to the patients in the inspiratory limb) and before the humidifier\textsuperscript{2} (Figure 1). While the Ultrasonic nebulizer efficiency is comparable to the Aerogen Solo at the Y, there are many limitations with the device which include an inability to aerosolize viscous solutions, heat generation which can degrade some solutions and large residual volumes\textsuperscript{21}. Furthermore the use of ultrasonic nebulizers is now minimal in the hospitals.

This difference in aerosol deposition related to positioning was originally studied by Ari et al. and demonstrated improved deposition when the Aerogen Solo was placed before the humidifier compared to at the wye with both adult and pediatric settings when utilizing a bias flow\textsuperscript{1}; without bias flow improved aerosol deposition was noted when the nebulizer was positioned closer to the patient\textsuperscript{22}.  

2 High Efficiency Aerosol Drug Delivery During Ventilation
Comparison of drug deposition after aerosol therapy through a ventilation circuit with standard small volume nebulizers, ultrasonic and the Aerogen Solo. The position of the nebulizer tested included: at the wye and before the humidifier (closer to the ventilator). In this pediatric model of mechanical ventilation with bias flow the Aerogen Solo outperforms both small volume nebulizers in both positions in the ventilator circuit. *p<0.001. Adapted from ².
Physiological lung dose was studied in an infant animal model, where quantification of radiolabeled aerosol was measured after inhalation through a ventilator circuit, tested with both a small volume nebulizer and the Aerogen Pro. The Aerogen Pro demonstrated a 25 fold higher deposition of aerosol in the lungs compared to a standard small volume nebulizer\textsuperscript{13}. The Aerogen Pro achieved a lung dose of 13\% and the difference in aerosol deposition between the two systems can be clearly observed in the scintigraphy pictures below (Figure 2)\textsuperscript{13}.

The superior drug deposition available with Aerogen is associated with the minimal residual volume left in the device after nebulization. Standard small volume nebulizers on average leave up to half of the drug behind which can be quite costly when using more expensive drugs\textsuperscript{24}. Dubus et al. observed that the standard small volume nebulizer has a residual volume of 1.1 mL after nebulization of 3-mL of solution. In contrast the Aerogen Pro had a residual volume of 0.1 mL after 0.5-mL\textsuperscript{13}.

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{figures/lung_scintigraphy.png}
\caption{Lung Scintigraphy images of a ventilated infant animal model after inhalation of radiolabeled aerosol using either a small volume nebulizer or the Aerogen Pro. The Aerogen Pro delivered a significantly greater lung dose than the small volume nebulizer. Adapted from \textsuperscript{13}.}
\end{figure}
Lung Recruitment Strategy for Patients with Respiratory Failure utilizing Aerogen

Strategies to improve lung recruitment in patients with acute respiratory distress syndrome (ARDS) and respiratory failure can include the use of B-agonists. These drugs are used extensively to treat hypoxemic ventilated patients even without a confirmed clinical benefit. A recent study has investigated whether providing inhaled Albuterol delivered by Aerogen technology can improve the lung function of pediatric patients\textsuperscript{14}. Compared to baseline, aerosolized Albuterol improved the functional residual capacity of critically ill children with respiratory failure. This study provides new evidence for the use of aerosolized B-agonists as another strategy to improve lung recruitment with ARDS\textsuperscript{14}.

Comparison of Aerogen with MDIs

Although drug delivery efficiency has been shown to be similar between a pressurized metered dose inhaler (pMDI) and Aerogen\textsuperscript{22}, the actual dose emitted from the pMDIs (e.g., 100µg per actuation with Albuterol) is much lower than the typical 2.5mg dose used with Aerogen. In addition, pMDIs aren’t without difficulties as failure to synchronize actuations with inspiration has been shown to reduce the aerosol drug delivery\textsuperscript{29}. It is also important to ensure canisters are shaken before use as the dose may vary due to separation from the propellant\textsuperscript{26}. There are several studies, which provide evidence that the cost savings of switching from combivent MDI to the Aerogen Solo is significant\textsuperscript{15-18, 27}. Blake et al. discussed substantial cost savings in conjunction with staff satisfaction after switching and a potential system wide annual saving of up to $1.74 million across 105 hospitals\textsuperscript{15}. Loborec et al. investigated the financial impact of replacing ipratropium-albuterol MDIs for Aerogen and calculated a three month cost saving of $99,359 and projected yearly saving of $397,436.\textsuperscript{18}
HFOV has historically been a challenge for aerosol administration. It represents another ventilation mode where aerosol can be delivered during the therapy with Aerogen technology. In an in vitro model of adult, pediatric and infant ventilation, Fang et al. compared drug deposition during HFOV with the Aerogen Solo in comparison to a standard small volume nebulizer\(^3\). Drug deposition was minimal with both devices during HFOV when the nebulizer was placed back at the humidifier. Conversely, the deposition of aerosol in all simulated lung models was significantly higher with the Aerogen Solo compared to standard ventilation when the Aerogen Solo was placed proximal to the patient (Figure 3)\(^3\).

**Figure 3**

Aerosol delivery during HFOV using the Aerogen Solo compared to a standard small volume nebulizer. Positioning of the Aerogen Solo closer to the patient provided a higher drug deposition. Adapted from \(^3\).
The Aerogen device can also be connected to a NIV circuit and can deliver aerosol during NIV and CPAP. Studies have shown that aerosol deposition with the Aerogen Pro connected into the circuit, patient side of the leak valve, provided 2-3 fold more inhaled drug than a standard small volume nebulizer in the same position. The importance of positioning of the nebulizer is observed in this study as the Aerogen Pro efficiency of 51% is reduced to 19% if connected before the leak valve (Figure 4)

Figure 4

Aerosol dose at the patient side and ventilator side of the leak port during NIV. The Aerogen Pro provided increased dose both at the patient and ventilator side of the leak port in comparison to the SVN. Aerosol dose is higher when either nebulizer is positioned patient side of the leak port. Adapted from 4.
Additional studies have confirmed these data with the NIVO, which fits directly into an NIV mask\textsuperscript{6,28}. In an in vitro comparison of a vibrating mesh (NIVO) vs a small volume nebulizer during NIV, a similar difference in inhaled drug was noted (Figure 5)\textsuperscript{6}. It is also important to note that the efficiency of the Aerogen Solo and NIVO has been directly compared and similar aerosol deposition has been reported\textsuperscript{29}.

![Inhaled Aerosol During CPAP & Bi-Level NIV](image)

**Legend**

Each data point represents the mean of two test runs.

- NIVO CPAP = 5
- NIVO S/T = 15/8
- NIVO S/T = 10/5
- SVN CPAP = 5
- SVN S/T = 15/8
- SVN S/T = 10/5

**Figure 5**

Aerosol deposition during NIV using the NIVO and a small volume nebulizer. During both BIPAP and CPAP aerosol deposition was higher with the NIVO compared to the small volume nebulizer. Adapted from \textsuperscript{6}. 
Lung dose correlates directly to these in vitro studies. Galindo-Filho et al. completed a scintigraphy study with healthy patients using the NIVO during NIV and quantified the inhaled dose to be 23.1% for the vibrating mesh and 6.1% with the small volume nebulizer. A lung dose of 5.5% was measured with the vibrating mesh, which was 3-4 fold greater than the 1.5% measured with a standard small volume nebulizer (Figure 6).

**Figure 6**

Distribution of aerosol in the lungs of healthy patients after nebulization with a SVN and NIVO. Lung deposition is significantly greater with the NIVO. Adapted from 5.
Aerosol therapy during the use of High Flow Nasal Cannula (HFNC) can be provided by the Aerogen Solo inline with a variety of HFNC systems, delivering aerosol directly through the nasal cannula. This technique allows aerosol delivery without interruption of oxygen flow and pressure and is more effective than placement of an aerosol mask over the nasal cannula. Preliminary studies have demonstrated suboptimal delivery of aerosol with the placement of aerosol masks over the cannula compared to taking the cannula off to administer aerosol therapy\(^3\). Initial studies have demonstrated that the Aerogen Solo can provide effective aerosol therapy through the cannula of a HFNC system\(^7\), \(^31\), \(^32\). Ari et al. studied aerosol delivery in pediatric patients and showed that an inhaled dose of 11% was achievable at a gas flow rate of 3L/min. The effect of flow and gas type does modify the aerosol deposition where heliox and lower flow rates have a favorable effect on aerosol dose\(^7\). More recent research into adult HFNC showed that placing the Aerogen Solo before the humidifier provided optimal aerosol therapy in comparison to two small volume nebulizers\(^8\). Reminiac at al. commented that the Aerogen Solo “was associated with high nebulization efficiency, a high fraction of aerosol made of particle with a diameter of 0.4 to 4.4 µm, a shorter nebulization duration, and the absence of added gas flow” which could potentially influencing the inspired oxygen fraction\(^8\). A lung dose of between 2-10% were achieved at flows rates 30, 45, and 60 L/min and aerosol delivered was greater with distressed breathing than with normal breathing. This may be due to the higher inspiratory flow and volumes, allowing more of the aerosol to be inhaled (Figure 7)\(^8\).

Respirable dose measured at three flow rates of 30, 45 and 60 L/min and with two different breathing patterns; “quiet” and “respiratory distress”. Increasing flow rate results in a decrease in respirable mass. Elevated aerosol was delivered with distressed breathing than with normal breathing. Adapted from \(^8\).

**Figure 7**

Respirable dose measured at three flow rates of 30, 45 and 60 L/min and with two different breathing patterns; “quiet” and “respiratory distress”. Increasing flow rate results in a decrease in respirable mass. Elevated aerosol was delivered with distressed breathing than with normal breathing. Adapted from \(^8\).
Alcoforado et al. have recently studied the effect of flow rate on aerosol deposition during adult HFNC in healthy patients\textsuperscript{33}. The study results correlated with previous in vitro data demonstrating higher aerosol deposition is achieved at lower flow rates (Figure 8).

<table>
<thead>
<tr>
<th>Lung Dose (%)</th>
<th>10L/min</th>
<th>30L/min</th>
<th>50L/min</th>
</tr>
</thead>
<tbody>
<tr>
<td>10.6 ± 5</td>
<td>3.3 ± 1.3</td>
<td>1.9 ± 0.8</td>
<td></td>
</tr>
</tbody>
</table>

**Figure 8**

Actual lung deposition in health patients during HFNC at flow rates of 10, 30 and 50 L/min. A lung dose of 10.6% is achievable at a flow rate of 10L/min. Lower flow rates correlate with higher drug deposition. Adapted from \textsuperscript{33}. 
The Aerogen Ultra, which can be used with the Aerogen Solo provides a platform to deliver aerosolized drugs to spontaneously breathing patients with mouthpiece and mask for use across the entire acute care setting. The Aerogen Ultra connects to low flow oxygen and can be used for both intermittent and continuous treatments in both pediatric and adult patients (Figure 9). The device is composed of a valved collection chamber, which connects the Aerogen Solo and a mouthpiece or facemask (Figure 9).

The innovative design of the device’s valved system controls the flow of air through the aerosol chamber. On inhalation, the air is drawn through the inlet valve on the base of the device creating a flow of air or oxygen through the device. This purges the aerosol chamber of aerosol and delivers drug to the patient via the mouthpiece. When the patient breathes out, the inlet valve closes and the exhalation valve on the mouthpiece opens. This allows the patient to exhale through the port on the mouthpiece while the aerosol chamber is refilled by the Aerogen Solo.
Initial bench testing has demonstrated the aerosol drug deposition of this new offering compared with small volume nebulizers is highly efficient providing an inhaled dose available to the patient of up to 35% with no added flow\(^\text{10}\) (Figure 10). In addition, as the Aerogen Solo has minimal residual volume remaining after aerosol treatments, more drug will therefore be available to the patient compared to a standard small volume nebulizer\(^\text{12, 24}\). Even with the addition of 2 liter per minute of flow through the device, 15% inhaled dose is still achievable with the Aerogen Ultra with a mouthpiece or valved face mask (Figure 10)\(^\text{19}\).

![Graph showing inhaled mass comparison]

**Figure 10**

Inhaled dose of the Aerogen Ultra compared to a standard small volume nebulizer with 2 liters per minute of flow through the device. The mouthpiece, a valved and open mask were tested where an enhanced efficiency was noted with mouth piece or valved mask. When no flow is utilized, 35% inhaled dose can be achieved with the mouthpiece. Adapted from \(^\text{19}\).
The Aerogen Ultra also provides a more efficient delivery of medication in a shorter period of time as observed by Hickin et al. (Figure 11): “Our lab-based study has shown that a vibrating mesh system is quicker and more effective than a small volume nebulizer, delivering more Albuterol over a shorter period of time.” Initial data on the device performance has supported their hypothesis “that a mesh nebulizer is a more effective method of delivering inhaled bronchodilators to patients with respiratory disease” as the study demonstrated that in a COPD model the device provides more than 8 times the medication in nearly half the time (Figure 11)\(^2\).

**Figure 11**

The dose rate of the Aerogen Ultra compared to a small volume nebulizer and the nebulization time, respirable dose and residual volume. The Aerogen Ultra provides a superior dose in a shorter period of time with minimal residual volume left in the nebulizer. Adapted from \(^2\).
Multiple scintigraphy studies have determined the pulmonary aerosol deposition in healthy adults and demonstrated a 4-6 fold significant rise in drug entering the lungs using the Aerogen Ultra with a mouth piece compared to a standard small volume nebulizer (Figure 12). In addition to this, experience of using Aerogen in the Emergency department by Baystate hospital both with spontaneously breathing patients and with HFNC led to a performance improvement plan for pediatric patient in respiratory distress. The goal was to improve the clinical outcome of these patients with the least invasive methods. The improvements included use of Aerogen and HFNC. The plan resulted in a positive impact on clinical outcomes and staff and patient satisfaction. The same hospital has already noted in two case studies describing their experience with the Aerogen Ultra, that the use of the device with a mouthpiece or valved mask improved clinical response in pediatric patients with asthma exacerbations and potentially prevented escalation of care.

**Figure 12**

Scintigraphic images of the lung deposition of aerosol using the Aerogen Ultra or a small volume nebulizer. (a) Alcoforado et al. and (b) Dugernier et al. In both studies the lung deposition was significantly higher with the use of the Aerogen Ultra (left images) compared to the small volume nebulizer (right images).
Aerogen Improves Clinical Outcomes in the Emergency Department

The Aerogen Ultra has demonstrated improved inhaled dose and superior lung deposition compared to standard SVNs in multiple bench and imaging studies. Although it’s important to note the improved efficiency of the Aerogen Ultra, clinical outcome data in patients is essential to support healthcare and economic arguments for use of this device.

A retrospective chart review was recently completed comparing emergency department (ED) patients who received aerosolized bronchodilator treatments. The review compared the hospital standard practice with an SVN to implementation of the Aerogen Ultra. A total of 1594 patients were included in the study. When compared to the standard SVN treatment the admission rate of patients into the hospital was 32% lower with the Aerogen Ultra. Discharges home from the ED were 30% higher with the Aerogen Ultra and the median length of stay in the ED was 37 minutes less per patient\(^7\). Furthermore, the Albuterol dose required to alleviate symptoms was significantly lower when the Aerogen Ultra was used (Figure 13). This retrospective study has shown significant improvements in clinical outcomes and reduced drug dose for all patients requiring Albuterol in the ED when delivery was via the Aerogen Ultra\(^7\). These data confirm the health and economic impact of using Aerogen technology in the ED.
Retrospective chart review of patients in the ED requiring bronchodilator treatment. (a) Admission rate into the hospital was 32% lower when compared to the standard SVN treatment. (b) Discharges home from the ED were 30% higher with the Aerogen Ultra when compared to the standard SVN treatment. (c) Median length of stay in the ED was 37 minutes less per patient with Aerogen Ultra when compared to the standard SVN treatment. (d) Albuterol dose was significantly lower with the Aerogen Ultra when compared to the standard SVN treatment \(^{37}\).
Aerogen in the OR

The Aerogen Solo can also be used during surgery in the presence of general anesthesia in line with the limits outlined in the instructions for use. A case study published in 2012 described an intraoperative bronchospasm of a 3 year old asthmatic patient admitted for dental restorations under general anesthetic. The bronchospasm was relieved with the use of the Aerogen Pro “after MDI, small volume nebulizer and other pharmacologic interventions failed”.

Summary

Aerogen provides superior aerosol therapy within the intensive care environment during ventilation, NIV, HFNC and with spontaneously breathing patients. In addition to the optimal performance, substantial cost savings have also been acknowledged when hospitals make the transition to the device. This advanced aerosol delivery is now available across the acute care setting delivering optimal aerosol treatments to all respiratory patients.
References


Streepy KS, Dawson AM, Grigonis AM, Hammerman SI and Snyder LK. Conversion from metered dose inhalers to a vibrating mesh nebulizer in long term acute care hospitals: cost effectiveness and respiratory staff perception Poster presentation at American Society of Health-System Pharmacists 2013.


37 Dunne R, Shortt SA and Dailey PA. Comparison of bronchodilator administration with vibrating mesh with adapter and jet nebulizer in the emergency department AARC Open Forum Abstract. 2016.

This page has been intentionally left blank
This page has been intentionally left blank